### Edgar Filing: PROGRESSIVE CORP/OH/ - Form 3

#### PROGRESSIVE CORP/OH/

Form 3 April 13, 2015

# FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Auer John F

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

04/01/2015

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

PROGRESSIVE CORP/OH/ [PGR]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O THE PROGRESSIVE CORPORATION, Â 6300 WILSON MILLS ROAD

(Street)

(State)

Director \_X\_\_ Officer

10% Owner

Other (give title below) (specify below) Pres & CEO - ARX and ASI 6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

### **MAYFIELD** VILLAGE, OHÂ 44143

1. Title of Security

(Instr. 4)

(City)

(Zip)

### Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Â

Direct (D) or Indirect (I)

(Instr. 5)

Common 56,003

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. 5. Ownership Conversion or Exercise Form of

6. Nature of Indirect Beneficial Ownership (Instr. 5)

Price of Derivative Derivative Security:

### Edgar Filing: PROGRESSIVE CORP/OH/ - Form 3

Date Expiration Title Amount or Security Direct (D)

Exercisable Date Number of or Indirect

Shares (I)

(Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Auer John F

C/O THE PROGRESSIVE CORPORATION 6300 WILSON MILLS ROAD

 Â Â Pres & CEO - ARX and ASI Â

# **Signatures**

/s/Laurie F. Humphrey, By Power of
Attorney

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

MAYFIELD VILLAGE, OHÂ 44143

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. pan="2" style="border: solid black; border-top-width: 1; border-left-width: 0;

border-right-width: 1; border-bottom-width: 1">3A. Deemed Execution Date, if any (Month/Day/Year)4. Transaction Code (Instr. 8)5. Number of Derivative Securities Acquired (A) or Disposed of (D)

(Instr. 3, 4, and 5)6. Date Exercisable and Expiration Date

(Month/Day/Year)7. Title and Amount of Underlying Securities

(Instr. 3 and 4)8. Price of Derivative Security

(Instr. 5)9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 4)10. Ownership Form of Derivative Security: Direct (D) or Indirect (I)

(Instr. 4)11. Nature of Indirect Beneficial Ownership

(Instr. 4)CodeV(A)(D)Date ExercisableExpiration DateTitleAmount or Number of Shares

# **Reporting Owners**

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Ray Anne Marie C/O VERA BRADLEY, INC. 12420 STONEBRIDGE ROAD ROANOKE, IN 46783		X		

## **Signatures**

/s/ Anne-Marie

Reporting Person

Ray 09/23/2015

\*\*Signature of Date

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents a distribution by the Barbara B. Baekgaard 2009 Grantor Retained Annuity Trust (the "Baekgaard Trust") of 300,000 shares of
- (1) the Issuer's common stock to each of the four beneficiaries of the Baekgaard Trust, including to the reporting person, in accordance with the terms of the documents governing the Baekgaard Trust.
- (2) The reporting person disclaims beneficial ownership of these shares.
- On September 21, 2015, following the reporting person's receipt of the 300,000 share distribution from the Baekgaard Trust, the reporting person transferred the shares to the Anne-Marie Ray 2015 Grantor Retained Annuity Trust, of which the reporting person is the sole trustee.

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