

Ingersoll-Rand plc  
Form 4  
March 04, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Zafari Robert

(Last) (First) (Middle)

C/O INGERSOLL-RAND  
COMPANY, 800-E BEATY  
STREET

(Street)

DAVIDSON, NC 28036

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Ingersoll-Rand plc [IR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/28/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
EVP, Industrial Segment

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Ordinary Shares	02/28/2014		M	V	13,910 A \$ 34.39	82,839.14	D
Ordinary Shares	02/28/2014		M	V	18,471 A \$ 31.11	101,310.14	D
Ordinary Shares	02/28/2014		M	V	6,577 A \$ 13.49	107,887.14	D
Ordinary Shares	02/28/2014		M	V	10,744 A \$ 25.22	118,631.14	D
Ordinary Shares	02/28/2014		M	V	9,357 A \$ 37.74	127,988.14	D

Edgar Filing: Ingersoll-Rand plc - Form 4

Ordinary Shares	02/28/2014	M	5,873	A	\$ 37.71	133,861.14	D	
Ordinary Shares	02/28/2014	M	5,743	A	\$ 32.46	139,604.14	D	
Ordinary Shares	02/28/2014	M	7,208	A	\$ 32.43	146,812.14	D	
Ordinary Shares	02/28/2014	M	6,973	A	\$ 41.91	153,785.14	D	
Ordinary Shares	02/28/2014	S	84,856	D	\$ 61.45 <u>(1)</u>	68,929.14	D	
Ordinary Shares <sup>(2)</sup>						3,157.03	I	By Plan Trustee

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Option (Right to Buy)	\$ 34.39	02/28/2014		M	13,910	<u>(3)</u> 02/06/2017	Ordinary Shares	13,910
Stock Option (Right to Buy)	\$ 31.11	02/28/2014		M	18,471	<u>(4)</u> 02/14/2018	Ordinary Shares	18,471
Stock Option (Right to Buy)	\$ 13.49	02/28/2014		M	6,577	<u>(5)</u> 02/11/2019	Ordinary Shares	6,577

Stock Option (Right to Buy)	\$ 25.22	02/28/2014	M	10,744	<u>(6)</u>	02/15/2020	Ordinary Shares	10,744
Stock Option (Right to Buy)	\$ 37.74	02/28/2014	M	9,357	<u>(7)</u>	02/13/2021	Ordinary Shares	9,357
Stock Option (Right to Buy)	\$ 37.71	02/28/2014	M	5,873	<u>(8)</u>	02/13/2021	Ordinary Shares	5,873
Stock Option (Right to Buy)	\$ 32.46	02/28/2014	M	5,743	<u>(9)</u>	02/23/2022	Ordinary Shares	5,743
Stock Option (Right to Buy)	\$ 32.43	02/28/2014	M	7,208	<u>(10)</u>	02/23/2022	Ordinary Shares	7,208
Stock Option (Right to Buy)	\$ 41.91	02/28/2014	M	6,973	<u>(11)</u>	02/21/2023	Ordinary Shares	6,973

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Zafari Robert C/O INGERSOLL-RAND COMPANY 800-E BEATY STREET DAVIDSON, NC 28036			EVP, Industrial Segment	

## Signatures

/s/ Sara Walden Brown,  
Attorney-in-Fact

03/04/2014

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction was executed in multiple trades ranging from \$61.45 to \$61.48 per share. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer, or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

## Edgar Filing: Ingersoll-Rand plc - Form 4

- (2) Latest available information provided by the trustee of the Ingersoll-Rand Employee Savings Plan.
- (3) The stock option vests in three (3) equal annual installments beginning on February 7, 2008.
- (4) The stock option vests in three (3) equal annual installments beginning on February 15, 2009.
- (5) The stock option vests in three (3) equal annual installments beginning on February 12, 2010.
- (6) The stock option vests in three (3) equal annual installments beginning on February 16, 2011.
- (7) The stock option vests in three (3) equal annual installments beginning on February 14, 2012.
- (8) The stock option vests in three (3) equal annual installments beginning on February 14, 2012.
- (9) The stock option vests in three (3) equal annual installments beginning on February 24, 2013.
- (10) The stock option vests in three (3) equal annual installments beginning on February 24, 2013.
- (11) The stock option vests in three (3) equal annual installments beginning on February 22, 2014.

### **Remarks:**

Options reflect adjustments related to the Allegion plc spin-off.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.