#### HAVERTY RAWSON JR

Form 4

November 08, 2012

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

**OMB APPROVAL** 

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3235-0287 January 31,

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obligations

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* HAVERTY RAWSON JR

2. Issuer Name and Ticker or Trading

Symbol

HAVERTY FURNITURE COMPANIES INC [HVT] 5. Relationship of Reporting Person(s) to

Issuer

below)

(Check all applicable)

(Last)

(First)

(Street)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year)

\_X\_ Director X\_ Officer (give title

X\_\_ 10% Owner \_ Other (specify

780 JOHNSON FERRY RD., SUITE 11/07/2012

800

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Senior Vice President

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

ATLANTA, GA 30342-

(City)	(State)	(Zip) Tal	ble I - Non	-Derivativ	e Secu	ırities Acqui	ired, Disposed of	f, or Beneficia	ally Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit coor Dispos (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/07/2012		M	13,000	A	\$ 12.9	14,316	D	
Common Stock	11/07/2012		M	3,350	A	\$ 9.13	17,666	D	
Common Stock	11/07/2012		F	2,434	D	\$ 15.34	15,232	D	
Common Stock	11/07/2012		S	13,000	D	\$ 15.4442	2,232	D	
Class A Common							100,451	D	

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Stock			
Class A Common Stock	655,823 (1)	I	By H5, LP
Class A Common Stock	655,823 (1)	I	By Pine Hill Associates, LLC
Class A Common Stock	120,930	I	Co-Ttee of Marital Tr. f/b/o MMH
Class A Common Stock	67,700	I	Co-Ttee of Marital Trust B f/b/o MMH
Class A Common Stock	11,228	I	Co-ttee Of Tr Fbo Daughter
Class A Common Stock	5,796	I	Co-ttee Of Tr Fbo Son
Common Stock	1,000	I	Co-ttee Of Tr Fbo Daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Common

Stock

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SEC 1474

(9-02)

1,000

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		Underlyin	7. Title and Amour Underlying Securit (Instr. 3 and 4)	
						Date Exercisable	Expiration Date	Title	Amo or Num	

Code V (A)

(D)

of Sh

Co-ttee Of

Tr Fbo Son

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Stock Options (Right to Buy)	\$ 12.9	11/07/2012	M	13,000	04/30/2004	12/19/2012	Common Stock	13,0
Stock Appreciation Rights	\$ 9.13	11/07/2012	M	3,350	(2)	02/06/2015	Common Stock	3,3.
PARSUs 2011-01-27	<u>(3)</u>				<u>(3)</u>	(3)	Common Stock	5,0
PARSUs 2012	<u>(4)</u>				<u>(4)</u>	<u>(4)</u>	Common Stock	5,0
Restricted Stock Units	<u>(5)</u>				<u>(5)</u>	(5)	Common Stock	5,6
RSUs 2011-01-27	<u>(6)</u>				<u>(6)</u>	<u>(6)</u>	Common Stock	3,7
RSUs 2012	<u>(7)</u>				<u>(7)</u>	<u>(7)</u>	Common Stock	5,0
Stock Appreciation Rights	\$ 8.74				(8)	01/27/2016	Common Stock	8,0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner France / Francess	Director	10% Owner	Officer	Other		
HAVERTY RAWSON JR 780 JOHNSON FERRY RD. SUITE 800 ATLANTA, GA 30342-	X	X	Senior Vice President			

# **Signatures**

Jenny H. Parker,
Attorney-in-Fact

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- These shares are held by H5, LP, a limited partnership ("H5") and are also reported herein by Pine Hill Associates, LLC, a limited

  (1) liability company ("PH"), the partnership's general partner. Mr. Haverty is the sole manager of PH. Mr. Haverty disclaimes beneficial ownership of shares held by H5 or PH except to the extent of his pecuniary interest therein.
- (2) Stock-Settled Appreciation Rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2009, and expire seven years from the grant date.
- (3) Performance Accelerated Restricted Stock Units ("PARSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan.

  PARSUs will vest 100% four years from date of grant. Vesting will accelerate if certain market price per share conditions (as defined in

Reporting Owners 3

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the Agreement) are met.

- Performance Accelerated Restricted Stock Units ("PARSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan.
- (4) PARSUs will vest 100% on 5/8/2019. Vesting will accelerate if a certain pre-established market price per share (as defined in the Agreement) is met.
- Restricted Stock Units ("RSUs") award granted under the 2004 Long-Term Incentive Plan. RSUs vest in four yearly installments (10% in (5) each of the first three years and 70% in the fourth), beginning on 5/8/2011. Each RSU is equivalent to one share of common stock upon vesting.
- Restricted Stock Units ("RSUs") award granted 1/27/2011 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2012. Each RSU is equivalent to one share of common stock upon vesting.
- (7) Restricted Stock Units ("RSUs") award granted 1/23/2012 under the 2004 Long-Term Incentive Plan. RSUs vest equally over 4 years, beginning 5/8/2013. Each RSU is equivalent to one share of common stock upon vesting.
- (8) Stock-Settled Appreciation Rights ("SARs") granted under the 2004 Long-Term Incentive Plan. SARs vest in four equal installments, beginning on 5/8/2010, and expire seven years from the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.