### Edgar Filing: RANKIN CLAIBORNE R - Form 4

Class A

09/13/2012

Form 4	LAIBORNE K												
September	14, 2012												
FOR	$\mathbf{M}$ <b>4</b> UNITED	STATES	SECU	RITI	ES	AND E	хсн	ANGE (	COMMISSIO		B APPRO	OVAL	
			ES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							Number	r: 32	35-0287	
Check if no lo subject Section Form 4 Form 5	to SIAIEI 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Estimat burden respons	Lanuary 31Expires:2009Estimated averageburden hours perresponse0.9		
obligat may co	ions Section 17	(a) of the l	<ul> <li>Section 16(a) of the Securities Exchange Act of 1934,</li> <li>Public Utility Holding Company Act of 1935 or Section</li> <li>a) of the Investment Company Act of 1940</li> </ul>										
(Print or Type	e Responses)												
RANKIN CLAIBORNE R Syn				2. Issuer Name <b>and</b> Ticker or Trading Symbol NACCO INDUSTRIES INC [NC]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First)	(Middle)						[INC]	(Ch	eck all applic	able)		
NACCO I LANDER 300	C., 5875	3. Date of Earliest Transaction (Month/Day/Year) 09/13/2012						Director       10% Owner         Officer (give title       X Other (specify below)         NMHG Dir and Group Member					
				If Amendment, Date Original led(Month/Day/Year)					<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>				
									Person				
(City)	(State)	(Zip)		ble I - N	Von	-Derivativ	ve Sec	urities Acc	uired, Disposed	of, or Benef	icially Ov	vned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution I any (Month/Da	Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or				d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Natur Indirect Benefic Owners (Instr. 4	ial hip	
				Code	V	Amount	(D)	Price	(Instr. 3 and 4)				
Class A Common Stock	09/13/2012			S		100	D	\$ 109.35	27,222	I	By Tru	ıst <u>(1)</u>	
Class A Common Stock	09/13/2012			S		105	D	\$ 109.35	27,117	I	By Tru	ıst <u>(1)</u>	
Class A Common Stock	09/13/2012			S		2	D	\$ 109.37	27,115	Ι	By Tru	ıst <u>(1)</u>	

S

100

D \$109.4 27,015

By Trust (1)

Ι

Common Stock								
Class A Common Stock	09/13/2012	S	100	D	\$ 109.4	26,915	I	By Trust (1)
Class A Common Stock	09/13/2012	S	100	D	\$ 109.4	26,815	I	By Trust (1)
Class A Common Stock	09/13/2012	S	2	D	\$ 108.97	26,813	I	By Trust (1)
Class A Common Stock	09/13/2012	S	11	D	\$ 109.85	26,802	Ι	By Trust (1)
Class A Common Stock	09/13/2012	S	80	D	\$ 109.86	26,722	Ι	By Trust (1)
Class A Common Stock	09/13/2012	S	100	D	\$ 109.87	26,622	I	By Trust (1)
Class A Common Stock	09/13/2012	S	100	D	\$ 109.88	26,522	I	By Trust (1)
Class A Common Stock	09/13/2012	S	200	D	\$ 109.87	26,322	I	By Trust (1)
Class A Common Stock						2,116	Ι	By Assoc II/Spouse (2)
Class A Common Stock						2,783	I	By Spouse/Trust
Class A Common Stock						6	I	By GP (4)
Class A Common Stock						40,028	I	By Assoc II
Class A Common Stock						22,385	I	By RA4 (6)
Class A Common Stock						1,975	I	By RMI (Delaware) (7)

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Class A Common Stock	9,763	I	By Assoc II/Son (8)
Class A Common Stock	10,027	I	By Trust (Son) (9)
Class A Common Stock	12,763	I	By Assoc II/Daughter
Class A Common Stock	5,272	I	By Trust (Daughter) (9)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(10)</u>					(10)	(10)	Class A Common Stock	50,000	
Class B Common Stock	\$ 0 <u>(10)</u>					(10)	(10)	Class A Common Stock	19	
Class B Common Stock	\$ 0 <u>(10)</u>					(10)	(10)	Class A Common Stock	62,670	
Class B Common Stock	\$ 0 <u>(10)</u>					(10)	(10)	Class A Common Stock	20,312	

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Class B Common \$ 0 (10) Stock

## **Reporting Owners**

**Reporting Owner Name / Address** 

Director 10% Owner Officer Other

RANKIN CLAIBORNE R NACCO INDUSTRIES, INC. 5875 LANDERBROOK DRIVE, STE. 300 MAYFIELD HEIGHTS, OH 44124

Signatures

/s/Suzanne S. Taylor, attorney-in-fact

09/14/2012 Date

# \*\*Signature of Reporting Person Da Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reporting Person serves as Trustee of a Trust for the benefit of Claiborne R. Rankin.
- (2) Represents the Reporting Person's spouse's proportionate limited partnership interest in shares held by Rankin Associates II, L.P... Reporting Person disclaims beneficial ownership of all such shares.
- (3) Reporting Person's spouse serves as Trustee of a Trust for the benefit of Chloe O. Rankin. Reporting Person disclaims beneficial ownership of all such shares.
- (4) GP. Represents the Reporting Person's proportionate limited partnership interest in shares of Rankin Associates, IV, L.P. held by the Trust for the benefit of Reporting Person, as general partner.
- (5) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (6) RA4. Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (7) Represents the Reporting Person's proportionate interest in shares held by Rankin Management, Inc. ("RMI").
- Represents the Reporting Person's child's proportionate limited partnership interest in shares held by Rankin Associates II, L.P.,
   (8) which is held in a trust for the benefit of the child. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (9) Held by Trust, Reporting Person is Co-Trustee for the benefit of Reporting Person's child. Reporting Person disclaims beneficial ownership of all such shares.
- (10) N/A
- (11) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.------

#### **Remarks:**

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of an equity security as a result

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

(10)

Relationships

(10)

NMHG Dir and Group Member

Class A Common 97,312 Stock