

ENSIGN GROUP, INC
Form 4
January 05, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Keetch Chad

(Last) (First) (Middle)

27101 PUERTA REAL, SUITE 450

(Street)

MISSION VIEJO, CA 92691

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ENSIGN GROUP, INC [ENSG]

3. Date of Earliest Transaction (Month/Day/Year)
01/03/2017

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Executive VP and Secretary

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	01/03/2017		M		366 A \$ 6.42	49,141	D
Common Stock	01/03/2017		S ⁽¹⁾		366 D \$ 22.52	48,775	D
Common Stock	01/03/2017		M		11,130 A \$ 7.38	59,905	D
Common Stock	01/03/2017		S ⁽¹⁾		11,130 D \$ 22.217	48,775	D
Common Stock	01/03/2017		M		14,664 A \$ 7.86	63,439	D

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Common Stock	01/03/2017	S ⁽¹⁾	14,664	D	\$ 22.26 <u>(3)</u>	48,775	D
Common Stock	01/03/2017	M	5,864	A	\$ 7.96	54,639	D
Common Stock	01/03/2017	S ⁽¹⁾	5,864	D	\$ 22.237 <u>(4)</u>	48,775	D
Common Stock	01/03/2017	M	5,498	A	\$ 9.75	54,273	D
Common Stock	01/03/2017	S ⁽¹⁾	5,498	D	\$ 22.344 <u>(5)</u>	48,775	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 6.42	01/03/2017		M	366	10/27/2012 ⁽⁶⁾	10/27/2021	Common Stock	366
Employee Stock Option (right to buy)	\$ 7.38	01/03/2017		M	11,130	02/08/2013 ⁽⁷⁾	02/08/2022	Common Stock	11,130
Employee Stock Option (right to buy)	\$ 7.86	01/03/2017		M	14,664	07/26/2013 ⁽⁹⁾	07/26/2022	Common Stock	14,664

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- (8) The number of securities reported in the second row of column 9 represent unvested time-based options that are scheduled to vest on February 8, 2017 and February 8, 2018.
- (9) The option was granted on July 26, 2012, and became exercisable in five equal annual installments beginning on July 26, 2013, which was the first anniversary of the date on which the option was granted.
- (10) The number of securities reported in the third row of column 9 represent unvested time-based options that are scheduled to vest on July 26, 2017 and July 26, 2018.
- (11) The option was granted on October 31, 2012, and became exercisable in five equal annual installments beginning on October 31, 2013, which was the first anniversary of the date on which the option was granted.
- (12) The number of securities reported in the fourth row of column 9 represent unvested time-based options that are scheduled to vest on October 31, 2017 and October 31, 2018.
- (13) The option was granted on June 12, 2013, and became exercisable in five equal annual installments beginning on June 12, 2014, which was the first anniversary of the date on which the option was granted.
- (14) The number of securities reported in the fifth row of column 9 represent unvested time-based options that are scheduled to vest on June 12, 2017, June 12, 2018, and June 12, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.