FUEL TECH, INC. Form 3

December 12, 2006

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Albrecht Ellen T

(Last) (First)

(Middle)

Statement

(Month/Day/Year)

12/07/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

FUEL TECH, INC. [FTEK]

(Check all applicable)

Vice President

4. Relationship of Reporting Person(s) to Issuer

Filed(Month/Day/Year)

C/O FUEL TECH. 512 KINGSLAND DRIVE

(Street)

Director \_X\_\_ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Person

Form filed by More than One

Reporting Person

BATAVIA, ILÂ 60510

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

Ownership Form: Direct (D)

Table I - Non-Derivative Securities Beneficially Owned

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02) Persons who respond to the collection of

information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Expiration Exercisable Date

Title Amount or Number of Shares

Price of Derivative Security

Derivative Security: Direct (D)

or Indirect

(I)

### Edgar Filing: FUEL TECH, INC. - Form 3

						(Instr. 5)		
Stock Option	12/07/2006	12/06/2014	Common	10,000	\$ 4.68	D	Â	
Stock Option	12/06/2007	12/05/2015	Common	10,000	\$ 8.46	D	Â	
Stock Option	12/07/2008	12/06/2016	Common	20,000	\$ 25.49	D	Â	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Albrecht Ellen T						
C/O FUEL TECH	Â	Â	Vice President	â		
512 KINGSLAND DRIVE	А	A	A VICE FIESIUCIII	A		

### **Signatures**

BATAVIA, ILÂ 60510

Ellen T Albrecht 12/11/2006

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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