**CARNIVAL PLC** 

Form 4 January 23, 2007

## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

CARNIVAL PLC [CUK]

3. Date of Earliest Transaction

Symbol

1(b).

(Last)

(Print or Type Responses)

ARISON MICKY MEIR

1. Name and Address of Reporting Person \*

(First)

(Middle)

C/O CARNIVAL CORPORATION, 3655 NW AVE	01/22/2	Day/Year) 2007				below)	_X_1 give titleO below) Chairman & CEO	` 1
(Street) MIAMI, FL 33178	Filed(Mo			ıl		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting		
(City) (State)	(Zip) Tal	ole I - Non-	Derivative	Secur	ities A	Person cquired, Disposed	l of, or Benefici	ally Owned
1.Title of Security (Month/Day/Year) (Instr. 3)  Trust Shares		3.		ies Aco sposed	quired of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership	•
(interest in special voting share) (1)		A(2)	60,000	A	\$0	60,000	D	
Trust 01/22/2007 Shares (interest in special voting		G	60,000	D	\$0	0	D	

share)  $\frac{(1)}{(1)}$ 

Trust Shares

(interest in 01/22/2007 G 60,000 A special

voting share)  $\underline{^{(1)}}$  Nickel 2003 Revocable

Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

941,747

Ι

\$0

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Conversion Security or Exercise (Instr. 3) Price of Derivative

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if

TransactionNumber Code of (Instr. 8)

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4) 8. Price of Derivative Security (Instr. 5)

Bene Own Follo Repo Trans (Insti

9. Nu

Deriv

Secu

Security

(Month/Day/Year)

Derivative Securities Acquired (A) or Disposed of (D)

5.

(Instr. 3, 4, and 5)

> Date Exercisable

or Expiration Title Number Date

Amount of

Shares

Code V (A) (D)

**Reporting Owners** 

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

ARISON MICKY MEIR

C/O CARNIVAL CORPORATION 3655 NW 87TH AVE

**MIAMI, FL 33178** 

Chairman X X & CEO

**Signatures** 

Micky M Arison

01/23/2007

\*\*Signature of Reporting Person Date

Reporting Owners 2 Edgar Filing: CARNIVAL PLC - Form 4

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Represents trust shares (the "Trust Shares") of beneficial interests in P&O Princess Voting Trust (the "Trust"). In connection with the dual listed company transaction between Carnival plc (fka P&O Princess Cruises plc) and Carnival Corporation (the "DLC Transaction"), Carnival plc issued one special voting share to the Trust and, following a series of transactions, the Trust Shares were distributed to
- (1) holders of common stock of Carnival Corporation (the "Carnival Corporation Common Stock"). Since completion of the DLC Transaction on April 17, 2003, if Carnival Corporation issues Carnival Corporation Common Stock to a person, the Trust will issue an equivalent number of Trust Shares to such person. The Trust Shares are paired with shares of Carnival Corporation Common Stock and are represented by the same stock certificate. The Trust Shares represent a beneficial interest in the Carnival plc special voting share.
- (2) Grant of restricted stock made pursuant to the 2002 Stock Plan and the terms of an Executive Long-Term Compensation Agreement. The restriction on the shares lapse on the fifth anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.