

SUSSEX BANCORP
Form 4
August 07, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEPPERT EDWARD J

(Last) (First) (Middle)

C/O SUSSEX BANCORP, 399
ROUTE 23

(Street)

FRANKLIN, NJ 07416

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SUSSEX BANCORP [SBBX]

3. Date of Earliest Transaction
(Month/Day/Year)
08/05/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	08/05/2013		X	17,539 A \$ 6	37,633 <u>(1)</u>	D	
Common Stock	08/05/2013		X	1,845 A \$ 6	10,912	I	by IRA
Common Stock	08/05/2013		X	3,550 A \$ 6	7,534	I	by IRA - Spouse
Common Stock	08/05/2013		X	14,532 A \$ 6	23,432	I	by 401(k)
Common Stock					38,552.38	I	by Director Deferred Compensation

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Security (Instr. 3 and 4)	Amount or Number of Shares
					V	(A)	(D)	Date Exercisable	Expiration Date		
Subscription Rights (right to buy) ⁽²⁾	\$ 6	07/29/2013		X		50,111		06/28/2013	07/29/2013	Common Stock	17,5
Subscription Rights (right to buy) ⁽²⁾	\$ 6	07/29/2013		X		5,271		06/28/2013	07/29/2013	Common Stock	1,84
Subscription Rights (right to buy) ⁽²⁾	\$ 6	07/29/2013		X		10,143		06/28/2013	07/29/2013	Common Stock	3,55
Subscription Rights (right to buy) ⁽²⁾	\$ 6	07/29/2013		X		43,520		06/28/2013	07/29/2013	Common Stock	14,5

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LEPPERT EDWARD J C/O SUSSEX BANCORP 399 ROUTE 23 FRANKLIN, NJ 07416	X			

Signatures

/s/ Linda Kuipers,
Attorney-in-Fact

08/07/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The total number of shares has been adjusted by 450 due to a previous mathematical error.

(2) On June 28, 2013, the Company issued, to each holder of record as of May 31, 2013, subscription rights to acquire shares of common stock of Sussex Bancorp (the "Rights Offering") in accordance with the terms set forth in a prospectus, dated June 28, 2013, related to the Rights Offering. Each subscription right reflects a basic subscription right entitling the holder to purchase 0.35 shares of common stock and an oversubscription privilege entitling the holder to purchase additional shares of common stock under certain circumstances.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.