

INTEVAC INC
Form 3
August 10, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

21 APRIL FUND LTD

(Last) (First) (Middle)

1345 AVENUE OF THE AMERICAS

(Street)

NEW YORK, NY 10105-4300

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)

07/29/2015

3. Issuer Name and Ticker or Trading Symbol
INTEVAC INC [IVAC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed (Month/Day/Year)

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer ____ Other
(give title below) (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)
____ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock ⁽¹⁾

2,333,161

D ⁽²⁾

21

Common Stock

2,343,420

I

See Footnote ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

4. Conversion or Exercise Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Edgar Filing: INTEVAC INC - Form 3

Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)
---------------------	--------------------	-------	----------------------------------	------------------------	---

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
21 APRIL FUND LTD 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105-4300	^	^ X	^	^
First Eagle Investment Management, LLC 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105-4300	^	^ X	^	^
Arnhold & S. Bleichroeder Holdings, Inc. 1345 AVENUE OF THE AMERICAS NEW YORK, NY 10105-4300	^	^ X	^	^

Signatures

/s/ Michael M. Kellen, Portfolio Manager of 21 April Fund, Ltd.	08/10/2015
__Signature of Reporting Person	Date
/s/ Michael M. Kellen, Vice Chairman and Director of FEIM	08/10/2015
__Signature of Reporting Person	Date
/s/ Michael M. Kellen, Co-President of ASBH	08/10/2015
__Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This Form 3 is filed by 21 April Fund, Ltd. ("21 April"), First Eagle Investment Management, LLC ("FEIM") and Arnhold and S. Bleichroeder Holdings, Inc. ("ASBH" and, collectively with 21 April and FEIM, the "Entities"). The Entities disclaim status as a "group" for purposes of this Form 3.
- (1) These shares are held directly by 21 April. FEIM serves as registered investment adviser to 21 April and is a subsidiary of ASBH. FEIM and ASBH disclaim beneficial ownership of these securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that FEIM and ASBH are the beneficial owners of the securities for purposes of Section 16 or for any other purpose.
- (2) These shares are indirectly beneficially owned by FEIM, as registered investment adviser to accounts other than 21 April, and ASBH. FEIM and ASBH disclaim beneficial ownership of these securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that FEIM and ASBH are the beneficial owners of the securities for purposes of Section 16 or for any other purpose.
- (3) These shares are indirectly beneficially owned by FEIM, as registered investment adviser to accounts other than 21 April, and ASBH. FEIM and ASBH disclaim beneficial ownership of these securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that FEIM and ASBH are the beneficial owners of the securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.