

NRG ENERGY, INC.  
Form 4  
August 16, 2006

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**BLACKSTONE MANAGEMENT ASSOCIATES IV LLC**

(Last) (First) (Middle)

**C/O THE BLACKSTONE GROUP, 345 PARK AVENUE**

(Street)

**NEW YORK, NY 10154**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**NRG ENERGY, INC. [NRG]**

3. Date of Earliest Transaction (Month/Day/Year)  
**08/15/2006**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
\_\_\_ Officer (give title below) \_\_\_X\_\_\_ Other (specify below)

See Remarks

6. Individual or Joint/Group Filing(Check Applicable Line)  
\_\_\_ Form filed by One Reporting Person  
\_X\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3)          | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock, par value \$0.01 per share | 08/15/2006                           |  | S                              | 3,309,582   | D \$ 48.41 (1) 3,309,583  | I  | See footnotes (1) (2) (3) (4)                         |
| Common Stock, par value \$0.01 per share | 08/15/2006                           |  | S                              | 629,828   | D \$ 48.41 (1) 629,827  | I  | See footnotes (1) (2) (3) (4)                         |
| Common Stock, par                        | 08/15/2006                           |  | S                              | 57,685  | D \$ 48.41 57,685   | I  | See footnotes   |

Edgar Filing: NRG ENERGY, INC. - Form 4

|                         |            |  |   |         |            |            |         |   |   |
|-------------------------|------------|--|---|---------|------------|------------|---------|---|---|
| value                   |            |  |   |         | <u>(1)</u> |            |         |   | <u>(1)</u> <u>(2)</u> <u>(3)</u> <u>(4)</u> |
| \$0.01 per share        |            |  |   |         |            |            |         |   |   |
| Common Stock, par value | 08/15/2006 |  | S | 208,160 | D          | \$ 48.41   | 208,160 | I | See footnotes                               |
| \$0.01 per share        |            |  |   |         |            | <u>(1)</u> |         |   | <u>(1)</u> <u>(2)</u> <u>(3)</u> <u>(4)</u> |
| Common Stock, par value | 08/15/2006 |  | S | 7,625   | D          | \$ 48.41   | 7,626   | I | See footnotes                               |
| \$0.01 per share        |            |  |   |         |            | <u>(1)</u> |         |   | <u>(1)</u> <u>(2)</u> <u>(3)</u> <u>(4)</u> |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu                      |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |             |
|--|---------------|-----------|---------|-------------|
|  | Director      | 10% Owner | Officer | Other       |
| BLACKSTONE MANAGEMENT ASSOCIATES IV LLC<br>C/O THE BLACKSTONE GROUP<br>345 PARK AVENUE<br>NEW YORK, NY 10154 |               | X         |         | See Remarks |
|  |               | X         |         | See Remarks |

Blackstone TG Capital Partners IV L.P.  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

Blackstone TG Capital Partners IV-B L.P.  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

BLACKSTONE CAPITAL PARTNERS IV-A LP  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

BLACKSTONE FAMILY INVESTMENT PARTNERSHIP IV-A LP  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

Blackstone Participation Partnership IV L.P.  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

PETERSON PETER G  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

SCHWARZMAN STEPHEN A  
C/O THE BLACKSTONE GROUP  
345 PARK AVENUE  
NEW YORK, NY 10154

X See Remarks

X See Remarks

X See Remarks

X See Remarks

X See Remarks

X See Remarks

## Signatures

/s/ David Foley (on behalf of Blackstone Management Associates IV L.L.C.)

08/16/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) On August 15, 2006, Blackstone TG Capital Partners IV L.P. ("BCP IV"), Blackstone TG Capital Partners IV-B L.P. ("BCP IV-B"), Blackstone Capital Partners IV-A L.P. ("BCP IV-A"), Blackstone Family Investment Partnership IV-A L.P. ("BFIP") and Blackstone Participation Partnership IV L.P. ("BPP", and together with BCP IV, BCP IV-B, BCP IV-A and BFIP, the "Blackstone Funds") sold respectively 3,309,582, 629,828, 57,685, 208,160 and 7,625 shares (4,212,880 shares in total) of NRG Energy, Inc.'s common stock (the "Common Stock"), to Morgan Stanley & Co. Incorporated at a price of \$48.41 per share (the "Resale"). Following completion of the Resale, BCP IV, BCP IV-B, BCP IV-A, BFIP and BPP directly own respectively 3,309,583, 629,827, 57,685, 208,160 and 7,626 shares of Common Stock reported as beneficially owned in the above table.

(2) Blackstone Management Associates IV L.L.C. ("BMA") is the sole general partner of each of the Blackstone Funds and, as such, may be deemed to share beneficial ownership of the shares of Common Stock held by the Blackstone Funds. Messrs. Peter G. Peterson and Stephen A. Schwarzman are the founding members of BMA and, as such, may be deemed to share beneficial ownership of the shares of Common Stock held by the Blackstone Funds.

Edgar Filing: NRG ENERGY, INC. - Form 4

- (3) Information with respect to each of the Reporting Persons is given solely by such Reporting Persons, and no Reporting Person has responsibility for the accuracy or completeness of information supplied by another Reporting Person.
- Pursuant to Rule 16a-1(a)(4) of the Securities Exchange Act of 1934, as amended, each of the Reporting Persons, other than the Blackstone Funds, herein states that this filing shall not be deemed an admission that he or it is the beneficial owner of any of the shares of Common Stock covered by this Statement. Each of BMA, Messrs. Peter G. Peterson and Stephen A. Schwarzman disclaims beneficial ownership of the Common Stock, except to the extent of its or his pecuniary interest in such shares of Common Stock.
- (4)

**Remarks:**

As described in Amendment No. 1 to Schedule 13D ("Amendment No. 1") filed on August 11, 2006 with respect to the Comm

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.