MORGAN STANLEY INDIA INVESTMENT FUND, INC.

Form SC 13D/A November 28, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SCHEDULE 13D (Rule 13d-101) (Amendment No. 2)

INFORMATION TO BE INCLUDED IN STATEMENTS FILED PURSUANT TO RULE 13d-1(a) AND AMENDMENTS THERETO FILED PURSUANT TO RULE 13d-2(a)

Morgan Stanley India Investment Fund, Inc. (Name of Issuer)

Common Stock, par value \$.01 per share

(Title of Class of Securities)

<u>61745C105</u> (CUSIP Number)

Barry Olliff c/o City of London Investment Management Company Limited 77 Gracechurch Street, London, UK EC3V 0AS +44 207 711 0771

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

November 24, 2017 (Date of Event Which Requires Filing of This Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition which is the subject of this Schedule 13D, and is filing this schedule because of Rule 13d-1(e), (f) or (g), check the following box .

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information, which would alter

disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act.

	S.S.	OR I.R.S. IDENTIFICATION		
1	NOS. OF ABOVE PERSONS City of London Investment Group PLC, (CLIG) a company incorporated under the laws of England and Wales.			
2	CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP			
3	SEC	USE ONLY		
4	SOURCE OF FUNDS* OO			
5	CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 2(d) OR 2(e)			
6	CITIZENSHIP OR PLACE OF ORGANIZATION			
NUMBER OF SHARES BENEFICIALLY OWNED BY EACH REPORTING PERSON WITH:	7 8 9	SHARED VOTING POWER 4,052,752 SOLE DISPOSITIVE POWER		
	10	SHARED DISPOSITIVE POWER 4,052,752		

AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,052,752

CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES

12 CERTAIN SHARES

PERCENT OF CLASS

REPRESENTED BY AMOUNT IN

13 ROW (11)

28.4%

TYPE OF REPORTING PERSON*

14 HC

4

NAMES OF REPORTING PERSONS S.S. OR I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS 1 City of London Investment Management Company Limited (CLIM), a company incorporated under the laws of England and Wales. CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP 2 (b) SEC USE ONLY 3 **SOURCE OF FUNDS*** 4 WC CHECK BOX IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEM 5 2(d) OR 2(e) CITIZENSHIP OR PLACE OF **ORGANIZATION** 6 England and Wales SOLE VOTING POWER 7 0 NUMBER OF **SHARES BENEFICIALLY** SHARED VOTING POWER **OWNED BY** 8 **EACH** 4,052,752 REPORTING **PERSON** SOLE DISPOSITIVE POWER WITH: 9 0 SHARED DISPOSITIVE POWER 10 4,052,752

11	AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON 4,052,752
12	CHECK BOX IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES
13	PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11) 28.4%
14	TYPE OF REPORTING PERSON* IA

Item 1(a). Name of Issuer:

Morgan Stanley India Investment Fund, Inc.

Item 1(b). Address of Issuer's Principal Executive Offices:

The principal executive offices of the Fund are located at:

Morgan Stanley India Investment Fund, Inc. c/o Morgan Stanley Asset Management 522 Fifth Avenue
New York, NY 10036

Item 2. <u>Identity and Background.</u>

- (a). This statement is being filed by City of London Investment Group PLC ("CLIG") and City of London Investment Management Company Limited ("CLIM," and together with CLIG, the "Reporting Persons").
- (b). The business address and principal executive offices of CLIG are 77 Gracechurch Street London, EC3V 0AS England. The directors and executive officers of CLIG, their business addresses and present principal occupation or employment are set forth on Annex A attached to this Schedule 13D. The business address and principal executive offices of CLIM are 77 Gracechurch Street London, EC3V 0AS England. The directors and executive officers of CLIM, their business addresses and present principal occupation or employment are set forth on Annex A attached.
- (c). CLIM is primarily an emerging markets fund manager, which specializes in investing in closed-end investment companies and is a registered investment adviser under Section 203 of the Investment Advisers Act of 1940. CLIM is controlled by CLIG. CLIM is principally engaged in the business of providing investment advisory services to various public and private investment funds, including:

Emerging (BMI) Markets Country Fund ("BMI"), a private investment fund organized as a Delaware business trust; Emerging Markets Free Fund ("CF"), a private investment fund organized as a Delaware business trust; Emerging Markets Global Fund ("CG"), a private investment fund organized as a Delaware business trust; Emerging Markets Investable Fund ("CI"), a private investment fund organized as a Delaware business trust; Global Emerging Markets Fund ("EUREKA"), a private investment fund organized as a Delaware business trust; The Emerging World Fund ("EWF"), a Dublin, Ireland-listed open-ended investment company; Emerging Free Markets Country Fund ("FREE"), a private investment fund organized as a Delaware business trust; Emerging Markets Country Fund ("GBL"), a private investment fund organized as a Delaware business trust; Investable Emerging Markets Country Fund ("INV"), a private investment fund organized as a Delaware business trust;

The EM Plus CEF Fund ("PLUS"), a private investment fund organized as a Delaware business trust; and unaffiliated third-party segregated accounts over which CLIM exercises discretionary voting and investment authority (the "Segregated Accounts").

The Shares to which this Schedule 13D relates are owned directly by the City of London Funds and the Segregated Accounts, collectively "the Funds".

- (d). None of the Reporting Persons has, during the last five years, been convicted in any criminal proceeding.
- (e). None of the Reporting Persons has, during the last five years, been a party to any civil proceeding commenced

before a judicial or administrative body of competent jurisdiction as a result of which it or he was or is now subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

(f). City of London Investment Group PLC, (CLIG) and City of London Investment Management Company Limited (CLIM) are companies incorporated under the laws of England and Wales.

Item 3. Source and Amount of Funds or Other Considerations.

Beneficial ownership of the Shares to which this statement relates was acquired by the Reporting Persons with invested capital of the City of London Funds and the Segregated Accounts. The aggregate purchase price of the 4,052,752 Shares beneficially owned by the Reporting Persons was \$87,821,114, inclusive of brokerage commissions. The aggregate purchase price of the 432,665 Shares owned directly by BMI was \$7,632,158, inclusive of brokerage commissions.

The aggregate purchase price of the 131,011 Shares owned directly by CF was \$2,400,351, inclusive of brokerage commissions.

The aggregate purchase price of the 423,052 Shares owned directly by CG was \$8,663,926, inclusive of brokerage commissions.

The aggregate purchase price of the 268,165 Shares owned directly by CI was \$5,538,741, inclusive of brokerage commissions.

The aggregate purchase price of the 234,879 Shares owned directly by EUREKA was \$5,377,239, inclusive of brokerage commissions.

The aggregate purchase price of the 176,790 Shares owned directly by EWF was \$3,757,408, inclusive of brokerage commissions.

The aggregate purchase price of the 231,962 Shares owned directly by FREE was \$4,903,905, inclusive of brokerage commissions.

The aggregate purchase price of the 407,884 Shares owned directly by GBL was \$8,281,249, inclusive of brokerage commissions.

The aggregate purchase price of the 69,841 Shares owned directly by INV was \$1,909,573, inclusive of brokerage commissions.

The aggregate purchase price of the 28,263 Shares owned directly by PLUS was \$703,240, inclusive of brokerage commissions.

The aggregate purchase price of the 1,648,240 Shares owned directly by the Segregated Accounts was \$38,653,324, inclusive of brokerage commissions.

Item 4. Purpose of Transaction.

The Reporting Persons reduced exposure to the Fund through the sale of Fund shares.

Item 5. Interests in Securities of the Issuer.

(a) and (b). As of the date hereof, CLIG, through its control of CLIM, and CLIM, in its capacity as investment adviser to the City of London Funds and the Segregated Accounts, have voting and dispositive power with respect to all 4,052,752 Shares owned directly by the City of London Funds and the Segregated Accounts, representing approximately 28.4% of the 14.287 million Shares outstanding as of November 22, 2017, as last reported by the Fund. As of the date hereof, BMI, CF, CG, CI, EUREKA, EWF, FREE, GBL, INV, PLUS, and the Segregated Accounts owned directly 432,665; 131,011; 423,052; 268,165; 234,879; 176,790; 231,962; 407,884; 69,841; 28,263; and 1,648,240 Shares, respectively, representing approximately 3.0%, 0.9%, 3.0%, 1.9%, 1.6%, 1.2%, 1.6%, 2.9%, 0.5%, 0.2% and 11.5% respectively, of the 14.287 million Shares outstanding as of November 22, 2017.

(c). Information with respect to all transactions in the Shares beneficially owned by the Reporting Persons that were effected during the past 60 days is set forth below:

Portfolio	Tran Type	Trade Date	Par Values/Shares	Trade Price
Seg Acct	SELL	10-Oct-17	15,000	35.81
Seg Acct	SELL	11-Oct-17	9,626	35.64
FREE	SELL	13-Oct-17	3,670	36.54
CI	SELL	16-Oct-17	12,233	36.64
FREE	SELL	16-Oct-17	7,646	36.64
Seg Acct	SELL	18-Oct-17	4,000	36.39
FREE	SELL	19-Oct-17	6,120	36.02
CI	SELL	20-Oct-17	6,333	35.94
Seg Acct	SELL	20-Oct-17	3,167	35.94
CI	SELL	24-Oct-17	30,100	36.01
CI	SELL	25-Oct-17	2,399	36.13
CI	SELL	26-Oct-17	3,769	36.21
GBL	SELL	26-Oct-17	22,614	36.21
Seg Acct	SELL	27-Oct-17	12,500	36.13
Seg Acct	SELL	30-Oct-17	14,815	36.06
Seg Acct	SELL	01-Nov-17	5,104	36.46
Seg Acct	SELL	02-Nov-17	11,893	36.31
PLUS	SELL	14-Nov-17	3,920	34.89
PLUS	SELL	15-Nov-17	6,282	34.76
PLUS	SELL	16-Nov-17	2,900	35.25
PLUS	SELL	17-Nov-17	5,000	35.46
PLUS	SELL	20-Nov-17	4,200	35.30
Seg Acct	SELL	24-Nov-17	12,768	36.02
Seg Acct	SELL	24-Nov-17	12,767	36.02
INV	SELL	24-Nov-17	12,767	36.02

⁽d). Other than disclosed herein, no other person is known to have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, such Shares.

Item 6. Contracts, Arrangements, Understandings or Relationships with Respect to Securities of the Issuer.

⁽e). Not applicable.

Except as set forth in Item 4 of this Schedule 13D, none of the Reporting Persons has any contract, arrangement, understanding or relationship (legal or otherwise) with any person with respect to any securities of the Fund including, but not limited to, any contract, arrangement, understanding or relationship concerning the transfer or the voting of any securities of the Fund, joint ventures, loan or option arrangements, puts or calls, guaranties of profits, division of profits or losses, or the giving or withholding of proxies.

Item 7.	Materials to be Filed as Exhib	ıtc.
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None.

Signature

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete, and correct.

November 28, 2017

CITY OF LONDON INVESTMENT GROUP PLC

/ s / Barry Olliff Name: Barry Olliff Title: Director

CITY OF LONDON INVESTMENT MANAGEMENT COMPANY LIMITED

/ s / Barry Olliff Name: Barry Olliff Title: Director

ANNEX A

DIRECTORS AND EXECUTIVE OFFICERS

The names of the directors and executive officers of CLIG and their business addresses and present principal occupation or employment are set forth below. If no business address is given, the business address of such director or executive officer is c/o City of London Investment Group PLC, 77 Gracechurch Street, London EC3V 0AS, England.

David Cardale Non-Executive Chairman

Barry Olliff Chief Executive Officer

Susannah Nicklin Non-Executive Director

Mark Driver Non-Executive Director

Barry Aling Non-Executive Director

Mark Dwyer Executive Director

Tracy Rodrigues Executive Director

Thomas Griffith Executive Director

The names of the directors and executive officers of CLIM and their business addresses and present principal occupation or employment are set forth below. If no business address is given, the business address of such director or executive officer is c/o City of London Investment Management Limited, 77 Gracechurch Street, London EC3V 0AS, England.

David Cardale Non-Executive Chairman

Barry Olliff Chief Executive Officer / Chief Investment Officer

Tracy Rodrigues Finance Director

Thomas Griffith Director

Mark Dwyer Director

YLE="margin-top:0pt; margin-bottom:0pt; font-size:9pt; font-family:ARIAL" ALIGN="justify">PROXY TABULATOR

PO BOX 55909

PRINCIPAL REAL ESTATE INCOME FUND (the Fund)

Proxy for an Annual Meeting of Shareholders to be Held on April 13, 2015

The undersigned, revoking prior proxies, hereby appoints David T. Buhler, Erin D. Nelson and Patrick D. Buchanan, and each of them, as attorneys-in-fact and proxies of the undersigned, with full power of substitution and re-substitution, to attend, act, and vote shares of the Fund listed above (the Fund) held in the name of the undersigned on the record date and entitled to vote at the Annual Meeting of Shareholders of Principal Real Estate Income Fund to be held at 1290 Broadway, Suite 1100, Denver, Colorado, 80203, on April 13, 2015, at 9:00 a.m. Mountain Time, or at any adjournment or postponement thereof, upon the Proposal described in the Notice of Meeting and accompanying Proxy Statement, which have been received by the undersigned.

This proxy is solicited on behalf of the Board of Trustees, and the Proposal (set forth on the reverse side of this proxy card) has been proposed by the Board of Trustees.

When properly executed, this proxy will be voted as indicated. If no choice is indicated, this proxy will be voted FOR the Proposal. The proxy will be voted in accordance with the proxy holder s best judgment as to any other matters that may arise at the Annual Meeting.

PLEASE SIGN AND DATE BELOW.

THIS PROXY CARD IS VALID ONLY WHEN SIGNED AND DATED.

Note: Please sign this proxy exactly as your name or names appear hereon. Each joint owner should sign. Trustees and other fiduciaries should indicate the capacity in which they sign. If a corporation, partnership or other entity, this signature should be that of a duly authorized individual who should state his or her title.

Signature		
Signature		
	Date	
		ALPS - V2

IT IS IMPORTANT THAT PROXIES BE VOTED PROMPTLY.

EVERY SHAREHOLDER S VOTE IS IMPORTANT.

Important Notice Regarding the Availability of Proxy Materials for the Annual Meeting:

The Proxy Statement for the Annual Meeting is available at www.2proxyvote.com/alps

TO VOTE, MARK BLOCKS BELOW IN BLUE OR BLACK INK AS FOLLOWS: ϕ THE BOARD OF TRUSTEES RECOMMENDS A VOTE <u>FOR</u> THE PROPOSAL.

1	Flact	ion c	of T	rustees.
1.	Elect	ion c) [[[[[tustees.

Nominee: FOR WITHHOLD

(01) Rick A. Pederson

2. To consider and vote upon such other matters, including adjournments, as may properly come before the Meeting or any adjournments thereof.

THIS PROXY CARD IS VALID ONLY WHEN SIGNED AND DATED. PLEASE SIGN AND DATE ON THE REVERSE SIDE.

ALPS - V2