

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

BARINGS PARTICIPATION INVESTORS

Form NSAR-A

August 29, 2017

PAGE 1  
000 A000000 06/30/2017  
000 C000000 0000831655  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 BARINGS PARTICIPATION INVESTORS  
001 B000000 811-5531  
001 C000000 4132261000  
002 A000000 1500 MAIN STREET, P.O. BOX 15189  
002 B000000 SPRINGFIELD  
002 C000000 MA  
002 D010000 01115  
002 D020000 5189  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 BARINGS LLC  
008 B000001 A  
008 C000001 801-241  
008 D010001 SPRINGFIELD  
008 D020001 MA  
008 D030001 01115  
008 D040001 5189  
012 A000001 DST SYSTEMS, INC.  
012 B000001 84-1817  
012 C010001 KANSAS CITY  
012 C020001 MO  
012 C030001 64105  
013 A000001 KPMG LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02110  
013 B040001 2371  
014 A000001 BARINGS SECURITIES LLC  
014 B000001 8-47589  
014 A000002 MML INVESTOR SERVICES, LLC  
014 B000002 8-27250  
014 A000003 OPPENHEIMER DISTRIBUTOR, INC.  
014 B000003 8-22992  
014 A000004 MML DISTRIBUTORS, LLC  
014 B000004 8-48203  
015 A000001 STATE STREET BANK AND TRUST COMPANY  
015 B000001 C  
015 C010001 BOSTON  
PAGE 2  
015 C020001 MA  
015 C030001 02110  
015 E010001 X  
018 000000 Y  
019 A000000 Y  
019 B000000 2

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

019 C000000 CIPIINVEST  
021 000000 0  
022 A000001 J.P. MORGAN SECURITIES LLC  
022 C000001 18690  
022 D000001 461  
022 A000002 MIZUHO SECURITIES USA/FIXED INCOME  
022 B000002 36-2880742  
022 C000002 13655  
022 D000002 0  
022 A000003 GOLDMAN SACHS INTERNATIONAL  
022 B000003 13-5108880  
022 C000003 11747  
022 D000003 0  
022 A000004 MITSUBISHI UFJ SECURITIES (USA), INC  
022 C000004 11090  
022 D000004 279  
022 A000005 MERRILL LYNCH PIERCE FENNER & SMITH/FI  
022 C000005 8047  
022 D000005 363  
022 A000006 CITIGROUP GLOBAL MARKETS INC  
022 B000006 11-2418191  
022 C000006 6716  
022 D000006 368  
022 A000007 WELLS FARGO SECURITIES LLC  
022 C000007 5505  
022 D000007 0  
022 A000008 PERSHING LLC  
022 C000008 5067  
022 D000008 787  
022 A000009 BARCLAYS CAPITAL INC.  
022 B000009 06-1031656  
022 C000009 3342  
022 D000009 0  
022 A000010 RBC CAPITAL MARKETS  
022 C000010 3000  
022 D000010 0  
023 C000000 88755  
023 D000000 2844  
024 000000 Y  
025 A000001 BANK OF AMERICA CORP.  
025 B000001 23-3069627  
025 C000001 D  
025 D000001 524  
025 A000002 LAZARD GROUP  
025 C000002 D  
PAGE 3  
025 D000002 528  
026 A000000 N  
026 B000000 Y  
026 C000000 N  
026 D000000 Y  
026 E000000 N  
026 F000000 N  
026 G010000 N  
026 G020000 N  
026 H000000 N  
027 000000 N  
028 A010000 0  
028 A020000 0  
028 A030000 0  
028 A040000 0  
028 B010000 0

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
	PAGE	4
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.900
048	B010000	0
048	B020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	N
054	A000000	Y
054	B000000	Y
054	C000000	Y
054	D000000	N
054	E000000	Y
054	F000000	N

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

054	G000000	Y	
054	H000000	Y	
054	I000000	N	
054	J000000	Y	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	N	
054	O000000	Y	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	Y	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
	PAGE	5	
062	H000000		0.0
062	I000000		2.8
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		94.0
062	Q000000		0.0
062	R000000		15.5
063	B000000		4.8
064	A000000	Y	
064	B000000	Y	
065	000000	N	
066	A000000	Y	
066	B000000	N	
066	C000000	N	
066	D000000	N	
066	E000000	N	
066	F000000	N	
066	G000000	Y	
067	000000	N	
068	A000000	N	
068	B000000	N	
069	000000	N	
070	A010000	Y	
070	A020000	N	
070	B010000	Y	
070	B020000	Y	
070	C010000	N	
070	C020000	N	
070	D010000	N	
070	D020000	N	
070	E010000	N	
070	E020000	N	

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

070	F010000	N	
070	F020000	N	
070	G010000	N	
070	G020000	N	
070	H010000	N	
070	H020000	N	
070	I010000	N	
070	I020000	N	
070	J010000	Y	
070	J020000	Y	
070	K010000	N	
070	K020000	N	
070	L010000	Y	
070	L020000	Y	
070	M010000	N	
	PAGE	6	
070	M020000	N	
070	N010000	N	
070	N020000	N	
070	O010000	Y	
070	O020000	Y	
070	P010000	N	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		15367
071	B000000		11480
071	C000000		143629
071	D000000		8
072	A000000	6	
072	B000000		6039
072	C000000		1070
072	D000000		0
072	E000000		164
072	F000000		645
072	G000000		0
072	H000000		0
072	I000000		21
072	J000000		12
072	K000000		0
072	L000000		48
072	M000000		153
072	N000000		0
072	O000000		0
072	P000000		307
072	Q000000		0
072	R000000		65
072	S000000		39
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		12
072	X000000		1302
072	Y000000		0
072	Z000000		5971
072AA	000000		2618
072BB	000000		1330
072CC	010000		0
072CC	020000		0
072DD	010000		2809

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

072DD020000	0
072EE000000	0
073 A010000	0.27
073 A020000	0.0000
073 B000000	0.0000
PAGE 7	
073 C000000	0.0000
074 A000000	6480
074 B000000	0
074 C000000	3828
074 D000000	128436
074 E000000	2197
074 F000000	5891
074 G000000	0
074 H000000	0
074 I000000	13118
074 J000000	0
074 K000000	0
074 L000000	1644
074 M000000	7
074 N000000	161601
074 O000000	0
074 P000000	0
074 Q000000	15000
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	1063
074 S000000	0
074 T000000	145538
074 U010000	10422
074 U020000	0
074 V010000	13.96
074 V020000	0.00
074 W000000	0.0000
074 X000000	481
074 Y000000	0
075 B000000	140706
076 000000	13.95
077 A000000	N
077 B000000	N
077 C000000	N
077 D000000	N
077 E000000	N
077 F000000	N
077 G000000	N
077 H000000	N
077 I000000	N
077 J000000	N
077 K000000	N
077 L000000	N
077 M000000	N
077 N000000	N
077 O000000	N
077 P000000	N
077 Q010000	N
077 Q020000	N
PAGE 8	
077 Q030000	N
078 000000	N
086 A010000	36
086 A020000	500

Edgar Filing: BARINGS PARTICIPATION INVESTORS - Form NSAR-A

086	B010000	0
086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	SHARES OF BENEFICIAL INTEREST
087	A020000	0676A103
087	A030000	MPV
088	A030000	Y
088	B030000	N
088	C030000	N
088	D030000	Y
SIGNATURE	JAMES M. ROY	
TITLE	Vice President and CFO	