### Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 4

### PEOPLES FINANCIAL SERVICES CORP.

Form 4

Common

Stock

11/23/2016

November 30, 2016

November 3	30, 2016								
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB APPROVAL		
							3235-0287		
Check to if no lor	nger					Expires	January 31 2005		
subject Section Form 4	to SIAIEN 16. or		ANGES IN BENE SECURITIES		burden l respons	ed average hours per			
Form 5 obligation may con See Inst	ons section 17(	(a) of the Publ	on 16(a) of the Secu c Utility Holding C e Investment Comp	ompany Act	of 1935 or Secti				
(Print or Type	Responses)								
1. Name and Address of Reporting Person * CESARE JOSEPH G MD			ssuer Name <b>and</b> Ticker pol PPLES FINANCIA		5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
		CO	RP. [PFIS]		(Check all applicable)				
(Mo			te of Earliest Transaction (http://doi.org/10.1011/j.com/1	on	_X_ Director 10% Owner Officer (give title Other (specify below)				
& TRUST	LES SECURITY CO.,, 150 N GTON AVE	BANK 11/2	2/2016		0000,11	361311)			
	(Street)		Amendment, Date Orig (Month/Day/Year)	inal	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
SCRANTO	ON, PA 18503				Form filed by Person	More than On	e Reporting		
(City)	(State)	(Zip)	Гable I - Non-Derivati	ve Securities A	equired, Disposed	of, or Benefi	cially Owned		
(Instr. 3) any		Execution Date,	Date, if Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Ownership Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V Amoun		(Instr. 3 and 4)		Tadesco		
Common Stock	11/22/2016		S 7,318	D \$ 45.19	76,556	I	Corp-Spouse has investment control		

S

4,427 D \$45 72,129

Tadesco Corp-Spouse

investment control

has

I

### Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 4

Common Stock	11/25/2016	S	788	D	\$ 45	71,341	I	Tadesco Corp-Spouse has investment control
Common Stock	11/28/2016	S	621	D	\$ 45	70,720	I	Tadesco Corp-Spouse has investment control
Common Stock	11/29/2016	S	75	D	\$ 45	70,645	I	Tadesco Corp-Spouse has investment control
Common Stock						25,188	D	
Common Stock						61,156	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transact	ionNumber	Expiration D	ate	Amou	int of	Derivative	J
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	5
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative			Securities		(Instr. 5)	J
	Derivative				Securities			(Instr.	3 and 4)		(
	Security				Acquired	Acquired					}
					(A) or						J
					Disposed						-
					of (D)						(
					(Instr. 3,						
					4, and 5)						
									A 4		
									Amount		
						Date	Expiration	T:41	or		
						Exercisable	Date	Title	Number		
				C 1 1	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

### Edgar Filing: PEOPLES FINANCIAL SERVICES CORP. - Form 4

Director 10% Owner Officer Other

CESARE JOSEPH G MD C/O PEOPLES SECURITY BANK & TRUST CO., 150 N WASHINGTON AVE SCRANTON, PA 18503



### **Signatures**

Carla Olenchak, Attorney-in-Fact for Joseph G Cesare MD

11/30/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3