

MODINE MANUFACTURING CO

Form 4

January 08, 2003

FORM 4

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION
Washington, DC 20549

STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the
Securities Exchange Act of 1934,
Section 17(a) of the Public Utility
Holding Company Act of 1935 or
Section 30(h) of the Investment
Company Act of 1940

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subject to
Section 16. Form
4 or
Form 5
obligations may
continue.
See Instruction
1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Relationship of Reporting to Issuer (Check all applicable)		
K. A. Feldmann			Modine Manufacturing Company - MODI		☐ Director ☒ 10% Owner ☒ Officer (give title below) ☐ Other (specify below) Group Vice President, E		
(Last)	(First)	(Middle)	3. I.R.S. Identification Number of Reporting Person, if an entity (Voluntary)	4. Statement for Month/Day/Year	7. Individual or Joint/Group (Check Applicable Line)		
Modine Europe GmbH	Arthur-B.-Modine-Strasse 1			1/06/03			
(Street)				5. If Amendment, Date of Original (Month/Day/Year)	Form filed by One Reporting Person		
D-70794 Filderstadt-Bonlanden GERMANY					Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Table I — Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	2. Transaction Date	2A. Deemed Execution Date, if	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned	6. Ownership Form: Direct	7. Ownership Form: Indirect

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	(Month/ Day/ Year)	any (Month/ Day/ Year)	Code V	Amount	(A) or (D)	Price	Owned (D) or Followed Indirect Reported Transaction(s) (Instr. 4) (Instr. 3 and 4)	
Common Stock, \$0.625 Par	1/06/03		A	8,000	A	-0-14,300	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

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(Over)
SEC 1474
(9-02)

FORM 4 (continued)	Table II — Derivative Securities Acquired, Disposed of, or Beneficially (e.g., puts, calls, warrants, options, convertible securities)							
1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exercise Price of Deri- vative Security	3. Trans- action Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr.8)	5. Number of Deriv- ative Securities Ac- quired (A) or Dis- posed of (D)	6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri- or of Deri- vative Sec- urity (In- 5)

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						(Instr. 3, 4 and 5)						
				Code	V	(A)	(D)	Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares	
Options with tandem tax withholding rights	\$25.25	1/15/97		A		6,000		1/15/ 1997	1/15/ 2007	Common Stock	6,000	
Options with tandem tax withholding rights	\$33.9375	1/21/98		A		6,000		1/21/ 1998	1/21/ 2008	Common Stock	6,000	
Options with tandem tax withholding rights	\$33.25	1/20/99		A		6,000		1/20/ 1999	1/20/ 2009	Common Stock	6,000	
Options with tandem tax withholding rights	\$25.00	1/19/00		A		12,000		1/19/ 2000	1/19/ 2010	Common Stock	12,000	
Options with tandem tax withholding rights	\$23.25	1/17/01		A		15,000		1/17/ 2001	1/17/ 2011	Common Stock	15,000	
Options with tandem tax withholding rights	\$22.78	1/16/02		A		20,000		1/16/ 2002	1/16/ 2012	Common Stock	20,000	
Options with tandem tax withholding rights	\$18.53	1/06/03		A		11,200		1/06/ 2003	1/06/ 2013	Common Stock	11,200	

Explanation of Responses:

The plans under which these options were granted, which complies with Rule 16b-3, allows for the provision of tax withholding rights.

s/K. A. Feldmann

January 8, 2003

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

**Signature of Reporting Person

Date

See

18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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