

Edgar Filing: ADVANCED REFRIGERATION TECHNOLOGIES INC - Form 3

ADVANCED REFRIGERATION TECHNOLOGIES INC

Form 3

January 18, 2002

 OMB APPROVAL

 OMB Number 3235-0104
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U.S. SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 3

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*

| | | |
|--------|---------|----------|
| KIMBER | DAVID | J. |
| (Last) | (First) | (Middle) |

1100 WEST KATELLA AVENUE, SUITE J

(Street)

| | | |
|--------|------------|-------|
| ORANGE | CALIFORNIA | 92867 |
| (City) | (State) | (Zip) |

2. Date of Event Requiring Statement (Month/Day/Year)

JANUARY 14, 1999

3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

4. Issuer Name and Ticker or Trading Symbol

ADVANCED REFRIGERATION TECHNOLOGIES, INC. ARGT

5. Relationship of Reporting Person to Issuer
(Check all applicable)

| | |
|--|--|
| <input checked="" type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| <input checked="" type="checkbox"/> Officer (give title below) | <input type="checkbox"/> Other (specify below) |

VICE PRESIDENT, MARKETING

6. If Amendment, Date of Original (Month/Day/Year)

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7. Individual or Joint/Group Filing (Check applicable line)

Form Filed by 1 Reporting Person

Form Filed by More than 1 Reporting Person

Table I -- Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indi (Instr. 4) |
|------------------------------------|---|---|---------------------------------|
| COMMON STOCK, NO PAR VALUE | 146,200 | D | |
| COMMON STOCK, NO PAR VALUE | 150,000 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Over)
SEC 1473 (3-99)

FORM 3 (continued)

Table II -- Derivative Securities Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

3. Title and Amount of Securities
Underlying Derivative Security

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| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | (Instr. 4) | Amount or Number of Shares | 4. Conversion or Exercise Price Derivative Security |
|---|--|-------------------------|------------|--|--|
| | Date Exer- cisable | Expira- tion Date | Title | | |
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Explanation of Responses:

| | |
|---------------------------------|------------------|
| /S/ DAVID J. KIMBER | January 14, 2002 |
| ----- | ----- |
| **Signature of Reporting Person | Date |

** Intentional misstatements or omissions of facts constitute Federal Criminal
Violations.
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.