CONCERT PHARMACEUTICALS, INC.

Form 4

February 21, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

OMB APPROVAL

Expires:

January 31, 2005

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

may continue.

See Instruction

1. Name and Address of Reporting Person * New Leaf Ventures I, L.P.

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Issuer

below)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Symbol

CONCERT PHARMACEUTICALS,

(Check all applicable)

INC. [CNCE]

02/19/2014

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)

Director Officer (give title

X 10% Owner Other (specify

C/O NEW LEAF

VENTURES, TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502

(Street)

(State)

02/19/2014

02/19/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person _X_ Form filed by More than One Reporting

Person

NEW YORK, NY 10036

(City)

1.Title of

Security

(Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

(Zip)

Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

SEC 1474

(9-02)

(A)

Transaction(s) (Instr. 3 and 4)

Reported

Code V (D) Price Amount

13,721

(1)

78,315 \mathbf{C} (1)

(1) Α 93,606 $D^{(2)}$

Common Stock

Stock

Common

 \mathbf{C}

<u>(1)</u> A 107,327

 $D^{(2)}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series B Preferred Stock	\$ 0	02/19/2014		C	442,478	<u>(1)</u>	<u>(1)</u>	Common Stock	78,315
Series C Preferred Stock	\$ 0	02/19/2014		C	77,522	<u>(1)</u>	<u>(1)</u>	Common Stock	13,721

De

Reporting Owners

Reporting Owner Name / Address	Relationships					
1	Director	10% Owner	Officer	Other		
New Leaf Ventures I, L.P. C/O NEW LEAF VENTURES TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502 NEW YORK, NY 10036		X				
New Leaf Venture Management I, L.P. C/O NEW LEAF VENTURES TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502 NEW YORK, NY 10036		X				
New Leaf Venture Management I, L.L.C. C/O NEW LEAF VENTURES TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502 NEW YORK, NY 10036		X				
Chambon Philippe O. C/O NEW LEAF VENTURES TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502 NEW YORK, NY 10036		X				
NIEDEL JAMES C/O NEW LEAF VENTURES TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502 NEW YORK, NY 10036		X				
HUNT RONALD C/O NEW LEAF VENTURES		X				

Reporting Owners 2

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X

TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502 NEW YORK, NY 10036

Lathi Vijay K

C/O NEW LEAF VENTURES 1200 PARK PLACE, SUITE 300

SAN MATEO, CA 94043

Delagardelle Jeani

C/O NEW LEAF VENTURES 1200 PARK PLACE, SUITE 300

SAN MATEO, CA 94043

Ratcliffe Liam

C/O NEW LEAF VENTURES

TIMES SQUARE TOWER, 7 TIMES SQ, STE 3502

NEW YORK, NY 10036

Signatures

/s/ Craig L. Slutzkin, Chief Financial Officer of New Leaf Venture Management I, L.L.C., the sole general partner of New Leaf Venture Management I, L.P., the sole general partner of New Leaf Ventures I, L.P.

02/21/2014

Date

**Signature of Reporting Person

/s/ Craig L. Slutzkin, Chief Financial Officer of New Leaf Venture Management I, L.L.C., the sole general partner of New Leaf Venture Management I, L.P.

02/21/2014

**Signature of Reporting Person

Date

/s/ Craig L. Slutzkin, Chief Financial Officer of New Leaf Venture Management I, L.L.C.

02/21/2014 Date

**Signature of Reporting Person

02/21/2014

**Signature of Reporting Person

Date

/s/ Craig L. Slutzkin, as Attorney-in-Fact for James Niedel

/s/ Craig L. Slutzkin, as Attorney-in-Fact for Philippe O. Chambon

02/21/2014

**Signature of Reporting Person

Date

/s/ Craig L. Slutzkin, as Attorney-in-Fact for Ronald Hunt

02/21/2014 Date

**Signature of Reporting Person

/s/ Craig L. Slutzkin, as Attorney-in-Fact for Vijay Lathi

02/21/2014 Date

**Signature of Reporting Person

02/21/2014

**Signature of Reporting Person

Date

/s/ Craig L. Slutzkin, as Attorney-in-Fact for Liam Ratcliffe

/s/ Craig L. Slutzkin, as Attorney-in-Fact for Jeani Delagardelle

02/21/2014

Signatures 3

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares automatically converted into Common Stock on a 1-for-5.65 basis upon the closing of the Issuer's initial public offering without payment of further consideration and had no expiration date.
 - Represents shares directly beneficially owned by New Leaf Ventures I, L.P. ("NLV I"). New Leaf Venture Management I, L.P. ("NLV Venture Management I") is the general partner of NLV I and New Leaf Venture Management I, L.L.C. ("NLV Management I") is the general partner of NLV Venture Managemen I. Philippe O. Chambon, Jeani Delagardelle, Ronald Hunt, Vijay Lathi, James Niedel and
- (2) Liam Ratcliffe are the individual managers of NLV Management I (the "Individual Managers"). NLV Venture Managemen I and NLV Management I disclaim beneficial ownership of such shares, except to the extent of their pecuniary interest therein. As one of six individual managers, each of the Individual Managers disclaims beneficial ownership over the shares reported herein, and in all events disclaims pecuniary interest except to the extent of his economic interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.