

Edgar Filing: Pioneer Floating Rate Trust - Form NSAR-B

Pioneer Floating Rate Trust  
Form NSAR-B  
January 27, 2017

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000 B000000 11/30/2016  
000 C000000 0001305767  
000 D000000 N  
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000 I000000 6.1  
000 J000000 A  
001 A000000 PIONEER FLOATING RATE TRUST  
001 B000000 811-21654  
001 C000000 6177427825  
002 A000000 60 STATE STREET  
002 B000000 BOSTON  
002 C000000 MA  
002 D010000 02109  
003 000000 N  
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007 C013000 30  
007 C013100 31  
007 C013200 32  
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007 C013500 35

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007 C013600 36  
007 C013700 37  
007 C013800 38  
007 C013900 39  
007 C014000 40  
008 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
008 B000001 A  
008 C000001 801-8255  
008 D010001 BOSTON  
008 D020001 MA  
008 D030001 02109  
010 A000001 PIONEER INVESTMENT MANAGEMENT, INC.  
010 B000001 801-8225  
010 C010001 BOSTON  
010 C020001 MA  
010 C030001 02109  
010 A000002 STATE STREET BANK & TRUST COMPANY  
010 C010002 BOSTON  
010 C020002 MA  
010 C030002 02101  
010 A000003 BROWN BROTHERS HARRIMAN & CO  
010 C010003 BOSTON  
010 C020003 MA  
010 C030003 02110  
011 A000001 MERRILL LYNCH & CO.  
011 B000001 8-7221  
011 C010001 NEW YORK  
011 C020001 NY  
011 C030001 10281  
011 C040001 1201  
011 A000002 UBS INVESTMENT BANK  
011 B000002 8-022651  
011 C010002 STAMFORD  
011 C020002 CT  
011 C030002 06901  
011 A000003 RBC CAPITAL MARKETS CORP.  
011 B000003 8-045411  
011 C010003 MINNEAPOLIS  
011 C020003 MN  
011 C030003 55402  
011 C040003 4422  
011 A000004 ADVEST, INC.  
011 B000004 8-21409  
011 C010004 HARTFORD  
011 C020004 CT  
PAGE 3  
011 C030004 06103  
011 A000005 JANNEY MONTGOMERY SCOTT, LLC  
011 B000005 8-462  
011 C010005 PHILADELPHIA  
011 C020005 PA  
011 C030005 19103  
011 C040005 1675  
011 A000006 A.G. EDWARDS  
011 B000006 8-13580  
011 C010006 ST. LOUIS  
011 C020006 MO  
011 C030006 63103  
011 A000007 STIFEL, NICOLAUS & CO., INC.  
011 B000007 8-001447  
011 C010007 ST. LOUIS  
011 C020007 MO

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011 C030007 63102  
011 A000008 ROBERT W. BAIRD & CO.  
011 B000008 8-00497  
011 C010008 MILWAUKEE  
011 C020008 WI  
011 C030008 53201  
011 A000009 FERRIS, BAKER WATTS, INC.  
011 B000009 8-16427  
011 C010009 BALTIMORE  
011 C020009 MD  
011 C030009 21202  
011 A000010 J.J.B. HILLIARD, W.L. LYONS, INC.  
011 B000010 8-03868  
011 C010010 LOUISVILLE  
011 C020010 KY  
011 C030010 40202  
011 A000011 KEYBANC CAPITAL MARKETS  
011 B000011 8-0000  
011 C010011 CLEVELAND  
011 C020011 OH  
011 C030011 44114  
011 A000012 MORGAN KEEGAN & CO., INC.  
011 B000012 8-15001  
011 C010012 MEMPHIS  
011 C020012 TN  
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011 A000013 OPPENHEIMER & CO.  
011 B000013 8-04077  
011 C010013 NEW YORK  
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011 A000014 RYAN BECK & CO., INC.  
011 B000014 8-12296  
011 C010014 FLORHAM PARK  
011 C020014 NJ  
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011 C030014 07932  
011 A000015 SUNTRUST ROBINSON HUMPHREY  
011 B000015 8-17212  
011 C010015 ATLANTA  
011 C020015 GA  
011 C030015 30308  
011 A000016 WEDBUSH MORGAN SECURITIES, LLC.  
011 B000016 8-12987  
011 C010016 LOS ANGELES  
011 C020016 CA  
011 C030016 90017  
011 A000017 WELLS FARGO SECURITIES, LLC  
011 B000017 8-22947  
011 C010017 SAN FRANCISCO  
011 C020017 CA  
011 C030017 94101  
012 A000001 AMERICAN STOCK TRANSFER & TRUST CO. (COMMON)  
012 B000001 85-0000  
012 C010001 BROOKLYN  
012 C020001 NY  
012 C030001 11219  
012 A000002 DEUTSCHE BANK TRUST COMPANY AMERICAS (PREF.)  
012 B000002 85-0000  
012 C010002 NEW YORK  
012 C020002 NY  
012 C030002 10005

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012 A000003 DELETE  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 BOSTON  
013 B020001 MA  
013 B030001 02116  
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015 A000001 BROWN BROTHERS HARRIMAN & CO.  
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015 C020001 MA  
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015 A000002 CITIBANK, N.A. BUENOS AIRES BRANCH  
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015 C010002 BUENOS AIRES  
015 D010002 ARGENTINA  
015 E040002 X  
015 A000003 HSBC BANK AUSTRALIA LIMITED  
015 B000003 S  
015 C010003 SYDNEY  
015 D010003 AUSTRALIA  
015 E040003 X  
015 A000004 UNICREDIT BANK AUSTRIA AG  
015 B000004 S  
015 C010004 VIENNA  
PAGE 5  
015 D010004 AUSTRIA  
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015 B000005 S  
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015 E040005 X  
015 A000006 BNP PARIBAS SECURITIES SERVICES  
015 B000006 S  
015 C010006 PANTIN  
015 D010006 BELGIUM  
015 E040006 X  
015 A000007 CITIBANK, N.A., SAO PAULO  
015 B000007 S  
015 C010007 SAO PAULO  
015 D010007 BRAZIL  
015 E040007 X  
015 A000008 CITIBANK EUROPE PLC, BULGARIA BRANCH  
015 B000008 S  
015 C010008 SOFIA  
015 D010008 BULGARIA  
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015 A000009 RBC INVESTOR SERVICES TRUST  
015 B000009 S  
015 C010009 TORONTO  
015 D010009 CANADA  
015 E040009 X  
015 A000010 BANCO DE CHILE  
015 B000010 S  
015 C010010 SANTIAGO  
015 D010010 CHILE  
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015 A000011 STANDARD CHARTERED BANK (CHINA) LIMITED  
015 B000011 S  
015 C010011 SHANGHAI  
015 D010011 CHINA

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015 A000012 CITITRUST COLOMBIA S.A., SOCIEDAD FIDUCIARA  
015 B000012 S  
015 C010012 BOGOTA  
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015 A000013 UNICREDIT BANK AUSTRIA AG  
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015 A000014 CITIBANK EUROPE PLC, ORGANIZACNI SLOZKA  
015 B000014 S  
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015 D010014 CZECH REPUBLIC  
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015 E040014 X  
015 A000015 STANDARD CHARTERED BANK GHANA LTD.  
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015 A000016 SKANDINAVISKA ENSKILDA BANKEN AB(PUBL)  
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015 D010016 DENMARK  
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015 A000017 CITIBANK, N.A., CAIRO BRANCH  
015 B000017 S  
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015 D010017 EGYPT  
015 E040017 X  
015 A000018 NORDEA BANK FINLAND PLC  
015 B000018 S  
015 C010018 HELSINKI  
015 D010018 FINLAND  
015 E040018 X  
015 A000019 BNP PARIBAS SECURITIES SERVICES  
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015 A000021 HSBC BANK PLC, ATHENS BRANCH  
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015 E040021 X  
015 A000022 STANDARD CHARTERED BANK (HONG KONG) LIMITED  
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015 A000023 CITIBANK EUROPE PLC, HUNGARIAN BRANCH OFFICE  
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015 A000024 CITIBANK, N.A., MUMBAI BRANCH  
015 B000024 S  
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015 D010024 INDIA  
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015 A000025 CITIBANK, N.A., JAKARTA BRANCH  
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015 C010025 JAKARTA  
015 D010025 INDONESIA  
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015 A000026 CITIBANK, N.A., LONDON BRANCH  
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015 E040026 X  
015 A000027 BANK HAPOALIM BM  
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015 C010027 TEL AVIV  
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015 A000028 BNP PARIBAS SECURITIES SERVICES, MILAN BRANCH  
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015 A000029 SUMITOMO MITSU BANKING CORPORATION  
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015 A000031 KBL EUROPEAN PRIVATE BANKERS SA  
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015 A000032 HSBC BANK MALAYSIA BERHAD  
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015 C010032 KUALA LUMPUR  
015 D010032 MALAYSIA  
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015 A000033 STANBIC IBTC BANK PLC  
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015 A000034 BANCO NACIONAL DE MEXICO, S.A. (BANAMEX)  
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015 C010034 MEXICO CITY  
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015 A000035 CITIBANK MAGHREB  
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015 A000036 BNP PARIBAS SECURITIES SERVICES  
015 B000036 S  
015 C010036 PANTIN  
015 D010036 NETHERLANDS  
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015 A000037 HSBC LTD.,NEW ZEALAND BRANCH  
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015 C010037 AUCKLAND  
015 D010037 NEW ZEALAND  
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015 A000038 NORDEA BANK NORGE ASA  
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015 C010038 OSLO  
015 D010038 NORWAY  
015 E040038 X  
015 A000039 HSBC BANK MIDDLE EAST LIMITED, QATAR BRANCH  
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015 A000040 CITIBANK DEL PERU S.A.  
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015 D010040 PERU  
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015 A000041 HSBC LTD.,PHILIPPINE BRANCH  
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015 C010041 TAGUIG CITY  
015 D010041 PHILIPPINES  
015 E040041 X  
015 A000042 BANK HANDLOWY W WARSZAWIE SA  
015 B000042 S  
015 C010042 WARSAW  
015 D010042 POLAND  
015 E040042 X  
015 A000043 BNP PARIBAS SECURITIES SERVICES  
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015 E040046 X  
015 A000047 CITIBANK EUROPE PLC POBOCKA ZAHRANICNEJ BAN  
015 B000047 S  
015 C010047 BRATISLAVA  
015 D010047 SLOVAKIA  
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015 A000048 STANDARD CHARTERED BANK JOHANNESBURG BRANCH

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015 B000048 S  
015 C010048 SANDTON  
015 D010048 SOUTH AFRICA  
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015 A000049 STANDARD CHARTERED BANK KEYNA LIMITED  
015 B000049 S  
015 C010049 NAIROBI  
015 D010049 KENYA  
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015 A000050 NORDEA BANK FINLAND PLC  
015 B000050 S  
015 C010050 HELSINKI  
015 D010050 ESTONIA  
015 E040050 X  
015 A000051 BANCO BILBAO VIZCAYA AREGENTARIA SA  
015 B000051 S  
015 C010051 MADRID  
015 D010051 SPAIN  
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015 A000052 HSBC LTD, SRI LANKA BRANCH  
015 B000052 S  
015 C010052 COLOMBO  
015 D010052 SRI LANKA  
015 E040052 X  
015 A000053 SKANDINAVISKA ENSKILDA BANKEN AB (PUBL)  
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015 C010053 STOCKHOLM  
015 D010053 SWEDEN  
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015 A000054 CREDIT SUISSE AG  
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015 C010054 ZURICH  
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015 A000055 BANK OF TAIWAN  
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015 C010055 TAIPEI  
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015 C010056 BANGKOK  
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015 A000057 CITIBANK ANONIM SIRKETI  
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015 D010057 TURKEY  
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015 A000058 HSBC BANK MIDDLE EAST LIMITED  
015 B000058 S  
015 C010058 DUBAI  
015 D010058 UNITED ARAB EMIRATES  
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015 A000059 HSBC BANK PLC  
015 B000059 S  
015 C010059 LONDON  
015 D010059 UNITED KINGDOM  
015 E040059 X  
015 A000060 CITIBANK, N.A., CARACAS BRANCH  
015 B000060 S



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015 C010060 CARACAS  
015 D010060 VENEZUELA  
015 E040060 X  
015 A000061 HSBC BANK (VIETNAM) LTD  
015 B000061 S  
015 C010061 HO CHI MINH CITY  
015 D010061 VIETNAM  
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015 A000062 CITIBANK KOREA INC  
015 B000062 S  
015 C010062 SEOUL  
015 D010062 SOUTH KOREA  
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015 A000063 EUROCLEAR BANK SA NV  
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020 A000001 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.  
020 B000001 13-5674085  
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022 B000001 14-1981175  
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022 A000002 J.P. MORGAN SECURITIES LLC  
022 B000002 13-4110995  
022 C000002 219646  
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022 A000003 BARCLAYS CAPITAL INC.  
022 B000003 06-1031656  
022 C000003 223838  
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022 A000004 GOLDMAN SACHS & CO.  
022 B000004 13-5108880  
022 C000004 147461  
022 D000004 4373  
022 A000005 NATIONAL FINANCIAL SERVICES LLC

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022 B000005 04-3523567  
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070	J020000	Y	
070	K010000	Y	
070	K020000	Y	
070	L010000	Y	
070	L020000	Y	
070	M010000	Y	
070	M020000	N	
070	N010000	Y	
070	N020000	N	
070	O010000	Y	
070	O020000	Y	
070	P010000	Y	
070	P020000	N	
070	Q010000	N	
070	Q020000	N	
070	R010000	N	
070	R020000	N	
071	A000000		238723
071	B000000		229317
071	C000000		440760
071	D000000		52
072	A000000	12	
072	B000000		24860
072	C000000		171
072	D000000		0
072	E000000		69
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072	F000000		3132
072	G000000		248
072	H000000		0
072	I000000		7
072	J000000		78
072	K000000		0
072	L000000		9
072	M000000		17
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072	O000000		0
072	P000000		1924
072	Q000000		0
072	R000000		87
072	S000000		31
072	T000000		0
072	U000000		0
072	V000000		0
072	W000000		413
072	X000000		5946
072	Y000000		0
072	Z000000		19154
072	AA000000		0

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072BB000000	10304
072CC010000	13913
072CC020000	0
072DD010000	17811
072DD020000	0
072EE000000	0
073 A010000	0.7200
073 A020000	0.0000
073 B000000	0.0000
073 C000000	0.0000
074 A000000	1305
074 B000000	1525
074 C000000	21775
074 D000000	447646
074 E000000	0
074 F000000	962
074 G000000	0
074 H000000	0
074 I000000	3284
074 J000000	2469
074 K000000	0
074 L000000	2340
074 M000000	7
074 N000000	481313
074 O000000	27983
074 P000000	251
074 Q000000	143450
074 R010000	0
074 R020000	0
PAGE 17	
074 R030000	0
074 R040000	321
074 S000000	0
074 T000000	309308
074 U010000	24738
074 U020000	0
074 V010000	12.50
074 V020000	0.00
074 W000000	0.0000
074 X000000	4861
074 Y000000	0
075 A000000	0
075 B000000	303375
076 000000	11.78
077 A000000	Y
077 B000000	Y
078 000000	N
080 A000000	ICI MUTUAL INSURANCE COMPANY
080 C000000	38000
081 A000000	Y
081 B000000	46
082 A000000	Y
082 B000000	0
083 A000000	N
083 B000000	0
084 A000000	N
084 B000000	0
085 A000000	N
085 B000000	N
086 A010000	0
086 A020000	0
086 B010000	0

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086	B020000	0
086	C010000	0
086	C020000	0
086	D010000	0
086	D020000	0
086	E010000	0
086	E020000	0
086	F010000	0
086	F020000	0
087	A010000	COMMON STOCK
087	A020000	72369J102
087	A030000	PHD
087	B010000	PREFERRED STOCK M7
087	B020000	72369J201
087	C010000	PREFERRED STOCK W7
087	C020000	72369J300
088	A000000	N
088	B000000	N
088	C000000	Y
	PAGE	18
088	D000000	N
SIGNATURE	MARK E. BRADLEY	
TITLE	TREASURER	