

Edgar Filing: SIMPSON KEVIN - Form 3

SIMPSON KEVIN
 Form 3
 January 07, 2003
 FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940

| | | | | | | |
|--|---------|----------|---|-------------------|---|--|
| 1. Name and Address of Reporting Person* | | | / | 2. Date of Event | / | 4. Issuer Name and Ticker or Tra |
| | | | / | Requiring | / | |
| | | | / | Statement | / | |
| | | | / | (Month/Day/Year)/ | / | ThermoGenesis Corp. ("KOOL") |
| Simpson, | Kevin | | / | 01/01/03 | / | |
| (Last) | (First) | (Middle) | / | 3. IRS | / | 5. Relationship of Reporting Per |
| | | | / | Identification | / | to Issuer (Check all applicab |
| | | | / | Number of | / | |
| c/o ThermoGenesis Corp. | | | / | Reporting Person, | / | <input type="checkbox"/> Director <input type="checkbox"/> 10% Own |
| 3146 Gold Camp Drive | | | / | if an entity | / | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other |
| ----- | | | / | (Voluntary) | / | (give title below) (Specif |
| (Street) | | | / | | / | |
| | | | / | | / | President and Chief Operating O |
| | | | / | | / | |
| Rancho Cordova, | CA | 95670 | / | | / | |
| ----- | | | / | | / | |
| (City) | (State) | (Zip) | / | | / | |
| | | | / | | / | |
| | | | / | | / | |

Table I - Nonderivative Securities Beneficially Owned

| | | | | |
|---------------------------------|---|-------------------------|---|---------------------|
| 1. Title of Security (Instr. 4) | / | 2. Amount of Securities | / | 3. Ownership Form: |
| | / | Beneficially Owned | / | Direct (D) Indirect |
| | / | (Instr. 4) | / | (Instr. 5) |

Reminder: Report on a separate line for each class of securities beneficially owned directly o

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security (Instr. 4) |
|--|--|---|--|---|
| Options to Purchase Common Stock | 01/06/03 01/06/08 | Common 300,000 | \$1.60 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly by the registrant.

Explanation of Responses:

/s/ KEVIN SIMPSON

 Kevin Simpson

* If the form is filed by more than one person, see Instruction 5(b)(v).

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, See Instruction 6 for procedure.